

Match to GUA	Manual Fee Adjust	Receiver	Payer	Responsible Party
Yes	No	Affirmed	Hold/Neutral	Payer
Yes	No	Affirmed	Reject - DontKnow	Receiver
Yes	No	Affirmed	Reject - IncorrectTransactionTypeCode, IncorrectSpreadTypeCode, IncorrectAgreement	Receiver
Yes	No	Affirmed	IncorrectExecutionMethodCode - Execution Method on the trade	Payer
Yes	No	Affirmed	IncorrectExecutionMethodCode - No Execution Method on the trade	Receiver
Yes	No	Affirmed	Reject – AwaitingClientConfirmation, IncorrectRate, Incorrect FeeAmount (Internal Rate Issue)	Payer
Yes	No	Affirmed	Reject - BillClientDirectly	Both
Yes	No	Affirmed	Reject - IncorrectBrokerageCurrencyCode	Payer
Yes	No	Affirmed	Reject - IncorrectTaxCountryCode	Receiver
Yes	No	Affirmed	Reject - IncorrectClearingAccount	Payer
Yes	No	Affirmed	Reject - IncorrectExecutingAccount	Receiver
Yes	Yes	Affirmed	Reject	Receiver
Yes	Yes	Affirmed	Hold/Neutral	Payer
Yes	Any	Neutral/Hold	Any	Receiver
No	Yes	Affirmed	Any	Payer
No	No	Neutral/Hold	Any	Receiver
No	Yes-0 fee	Affirmed	Any	Payer